

SECURITY FRAUD AND ARBITRATION

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DENNIS G. MERENBACH SPECIALIZES IN BROKER FRAUD AND SECURITIES VIOLATIONS

DO NOT LET BROKER MISCONDUCT GO UNPUNISHED

In my career, I have worked on several hundred matters involving SECURITIES AND BROKER MISCONDUCT. Losing a large portion of one's hard-earned assets can be devastating. I take great care to keep in close contact with my clients in order to explain the legal process and obtain the best possible outcome. My clients have recovered millions of dollars through negotiations, mediation, arbitration, and litigation. I acquired experience in the securities industry while employed by a brokerage firm as a registered representative.

I use this experience to diligently pursue recovery of investors' losses resulting from securities fraud, churning, unsuitable investments, unauthorized trading, and other unlawful or negligent actions by stock brokers and brokerage firms.

IF YOU FEEL THAT YOU MAY HAVE A CLAIM, PLEASE CONTACT OUR OFFICE FOR A FREE CONSULTATION

Have you been a victim of stock fraud? Have you lost money during this bull market as a result of your broker's fraud or negligent activities?

Misrepresentations, fraud, and incompetence by stockbrokers and financial planners can often result in dramatic losses of one's life savings, inheritance, or retirement plan assets. Some brokers may be more concerned with their own profits than yours.

For over 15 years, I have successfully enforced the rights of investors who have been victimized by various forms of securities fraud or manipulation. My firm represents individual investors who have been victimized by the fraudulent practices of broker-dealers and mutual fund companies. These include churning, deceptive practices, unauthorized trading, negligence, margin practices, breach of fiduciary duty, unsuitability claims, and securities fraud in connection with the issuance, purchase, and sale of securities.

CHURNING

Churning occurs when a broker engages in excessive trading in your account. A broker churns an account in an attempt to generate commissions.

UNSUITABILITY

Unsuitability occurs when a broker makes recommendations that are inconsistent with the customer's risk tolerance, needs, and investment objectives. A broker has a duty to know the client and only recommend investments and trading strategies that are suitable for that client.

OVER CONCENTRATION

Over concentration occurs when a broker does not diversify the client's portfolio. Diversification is one of the most important rules of investing. The risk of losses with a portfolio that is not diversified is dramatically increased.

BREACH OF FIDUCIARY DUTY

Breach of Fiduciary duty includes deceptive practices, unauthorized trading, negligence, margin practices, misrepresentation, and omissions. A broker is liable to a client if that broker misrepresents and/or omits material facts to the investor regarding an investment and that client loses money as a result.

RECENT AWARD

This law firm recently obtained a 1.8 million dollar arbitration award on behalf of a retired widow against her stockbroker and brokerage firm. The case involved churning, unauthorized margin trading, unsuitable recommendations, and securities fraud. In addition to \$600,000 in compensatory damages, the arbitration panel awarded \$1,200,000 in punitive damages and pre-award interest.

REQUIRED DISCLAIMER: CASE RESULTS DEPEND UPON A VARIETY OF FACTORS UNIQUE TO EACH CASE AND DO NOT GUARANTEE OR PREDICT A SIMILAR RESULT IN ANY FUTURE CASE UNDERTAKEN BY THIS FIRM.

Thank you for visiting our site. We would love to help you reclaim what you have lost.

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